

3C  
JCWSCS 2 2 JAN 2004

JAN-21-2004 03:12PM FROM-

T-904 P.001/003 F-840

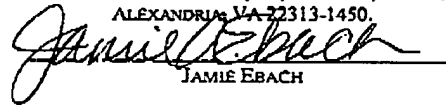
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>Applicant:</b> PLANK ET AL	<b>Examiner:</b> UNKNOWN
<b>Serial No.:</b> 10/658,682	<b>Group Art Unit:</b> 1761
<b>Filed:</b> SEPTEMBER 9, 2003	<b>Docket No.:</b> GMI0012/US
<b>For:</b> CYCLODEXTRIN - CONTAINING COMPOSITIONS AND METHODS	<b>TOTAL DOCUMENTS FAXED:</b> 3

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, V.A. 22313

CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8  
I HEREBY CERTIFY THAT ON JANUARY 21, 2004 THIS  
CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE  
UNITED STATES PATENT & TRADEMARK OFFICE, OFFICE OF  
INITIAL PATENT EXAMINATION - (703) 746-9195, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-1450.

  
JAMIE EBACH

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir/Madam:

The above-identified Applicants respectfully request that the following correction be made to the data shown in the "Domestic Priority data as claimed by applicant" on the Filing Receipt:

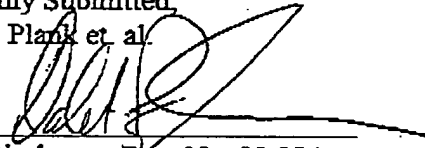
**This application is a CIP of 10/386,244 03/11/2003 and a CIP of 10/630,489 07/30/2003.**

A copy of the filing receipt showing the requested correction is enclosed.

No fee is deemed necessary. Should any fee be required, the Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1775 and notify us of the same.

Respectfully Submitted,  
David W. Plank et al.

Dated: January 21, 2004

By:   
Dale A. Bjorkman, Reg. No. 33,084



33072

PATENT TRADEMARK OFFICE

Phone: 651-275-9811

Facsimile: 651-351-2954

DAB/jae/11072

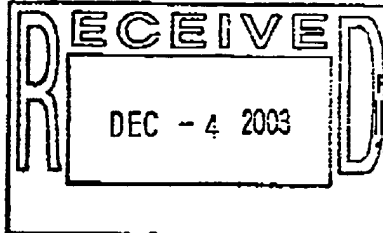


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/658,682	09/09/2003	1761	0.00	GMI0012/US	6	20	6

33072  
KAGAN BINDER, PLLC  
SUITE 200, MAPLE ISLAND BUILDING  
221 MAIN STREET NORTH  
STILLWATER, MN 55082



CONFIRMATION NO. 2267

FILING RECEIPT



0000000011380607

Date Mailed: 12/01/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Plank, Residence Not Provided;

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/386,244 03/11/2003 and a CIP of 10/630,489 07/30/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 11/28/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Cyclodextrin-containing compositions and methods

## Preliminary Class

12/14/2003  
[Signature]

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).